



KNOW YOUR CUSTOMER (KYC) FORM STRICTLY CONFIDENTIAL

S.No. Particulars	Details
Full Name of the Customer	
Legal Status	<input type="checkbox"/> Individual <input type="checkbox"/> Company <input type="checkbox"/> Partnership <input type="checkbox"/> Others_____
Permanent Address	
Tax Domicile	
Business/Trading Address	
ID No./CR No./Registration No.	
Purpose and Nature of Transaction to be undertaken	
In case of Individual	
Nationality	
Occupation or Profession	
Name of Establishment or Employer	
Location of activity	
Is the Individual a Politically Important Person (PEP)?	<input type="checkbox"/> Yes <input type="checkbox"/> No
Ultimate Beneficiary	



Main Activity	
Resource of Funds	
<p>In the last five years, has the individual ever been the subject of any convictions, prosecutions, investigations (including any pending investigations) in relation to</p> <ul style="list-style-type: none"> a) bribery or corruption; b) tax compliance; c) data protection, privacy or security breaches d) sanctions breaches; or e) environmental matters? 	
<p>In the last five years, has the individual ever made any public disclosures relating to any prosecutions, investigations, violations or other regulatory matters?</p>	
<p>In the last five years, has the individual sanctioned any directors or employee for any violations related to bribery or corruption tax compliance, data protection, privacy or security, sanctions or environmental matters?</p>	
In case of Entity	
City & Country of Incorporation	
Date of Birth / Incorporation	
What is the principal business/activity of the Customer?	



Ultimate Beneficiary	
Ultimate Holding	
Main Activity	
Resource of Funds	
<p>In the last five years, has the company (or any of its affiliates shareholders, directors or key employees) ever been the subject of any convictions, prosecutions, investigations (including any pending investigations) in relation to</p> <ul style="list-style-type: none">f) bribery or corruption;g) tax compliance;h) data protection, privacy or security breachesi) sanctions breaches; orj) environmental matters?	
<p>In the last five years, has the company (or any of its affiliates shareholders, directors or key employees) ever made any public disclosures relating to any prosecutions, investigations, violations or other regulatory matters?</p>	
<p>In the last five years, has the company (or any of its affiliates shareholders, directors or key employees) sanctioned any directors or employee for any violations related to bribery or corruption tax compliance, data protection, privacy or security, sanctions or environmental matters?</p>	

Is the Customer acting on behalf of another Person? If Yes	<input type="checkbox"/> Yes <input type="checkbox"/> No
Name of Beneficial Owner	
ID No./CR No./Registration No.	
Domicile Country	
Ultimate Beneficiary	
Ultimate Holding	
If Beneficial Owner is an Individual, then	
Nationality	
Is the Individual a Politically Important Person (PEP)?	<input type="checkbox"/> Yes <input type="checkbox"/> No
Regulatory Status	<input type="checkbox"/> Independent Regulator <input type="checkbox"/> Non-Independent Regulator <input type="checkbox"/> Unregulated
Name of Regulator (if any)	
Name of Stock Exchange(if Listed)	
If a Holding company, name of any sotherubsidiaries/branches/associated companies	
If not, Group Company (if any)	
If business activities are conducted in more than one country, please indicate names of all countries	



Bank Details	
Contact Details of Customer	
Contact Person	
Tel & Fax	
E-mail/website	
Contact details of Compliance Officer (if any)	
Copies* of Customer Identification Documents	
Please submit the documents and tick(a) against the documents attached	
Individual:	<input type="checkbox"/> ID Card/Passport/Driving License(DL) <input type="checkbox"/> Proof of Domicile Country**
Company	<input type="checkbox"/> Company Registration (CR) <input type="checkbox"/> List & Passport copies of Authorised Signatories <input type="checkbox"/> List of Major Shareholders <input type="checkbox"/> List & Proof of Domicile Country** of Directors <input type="checkbox"/> Major Shareholders:- Shareholders who, directly or indirectly, owns or controls more than 5% of the shares or voting rights
Partnership:	<input type="checkbox"/> Certificate of Registration <input type="checkbox"/> Partnership Deed <input type="checkbox"/> List & Passport copies of Authorised Signatories <input type="checkbox"/> List & Proof of Domicile Country** of Partners

<p>Trust</p>	<ul style="list-style-type: none"> <input type="checkbox"/> Certificate of Registration <input type="checkbox"/> Trust Deed <input type="checkbox"/> List & Passport copies of Settlor, Trustees, Protector <input type="checkbox"/> List & Passport copies of Authorised <input type="checkbox"/> Signatories List of Major Beneficiaries <input type="checkbox"/> Major Beneficiaries:- Beneficiary who is to receive atleast 25% of the funds of the Trust
<p>Any other Legal Person:</p>	<ul style="list-style-type: none"> <input type="checkbox"/> Registration Document <input type="checkbox"/> List & Passport copies of Authorised Signatories <input type="checkbox"/> List of the Individuals/Entities who ultimately owns, or exercises effective control over such person
<p>Declaration: I/We hereby confirm that the above information provided to you is true and correct to the best of our knowledge. I/We acknowledge that if the information provided is found to be false or misleading then the business relationship may be annulled anytime at your discretion. I/We hereby agree to provide any additional information/documentation that may be required.</p>	
<p>Date</p>	
<p>Signature of Authorised Signatory</p>	
<p>*Certified copies of documents clearly signed, stamped and dated by any of the following:-</p> <p>(1) A representative of an embassy, consulate or high commission of the country; or</p> <p>(2) A lawyer or attorney; or</p> <p>(3) A notary public or commissioner of oaths; or</p>	

(4) A chartered or certified accountant.

The date of signatory should not be older than 3 months. Copies of certified copies is not acceptable.

**Any document to show the residential address like utility bill, tenancy agreement, etc.

Further investigations in connection with the information provided may be carried out, either from VAR or third party engaged for that purpose.